

**PETERS, VERNY, JONES & BIKŠA, LLP**

Attorney's Docket No. 3521.125 (ALJ)

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**COMBINED DECLARATION AND POWER OF ATTORNEY**

*UTILITY, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION OR CIP APPLICATION*

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As a below named inventor, I hereby declare that:

**TYPE OF DECLARATION**

This declaration is of the following type:

- ☒ utility.
- ☐ design.
- ☐ supplemental.
  
- ☐ national stage of PCT.
  
- ☐ divisional.
- ☐ continuation.
- ☐ continuation-in-part (CIP).

**INVENTORSHIP IDENTIFICATION**

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**TITLE OF INVENTION**

**METHODS FOR ANNEALING A SUBSTRATE AND ARTICLE PRODUCED BY SUCH METHODS**

**SPECIFICATION IDENTIFICATION**

the specification of which:

*(complete (a), (b) or (c))*

- (a) ☒ Is attached hereto.

*NOTICE OF JULY 13, 1995 (1177 O.G. 60).*

- (b) ☐ was filed on \_\_\_\_\_, as ☐ Serial No. 0 / \_\_\_\_\_ or ☐ \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).
- (c) ☐ was described and claimed in PCT International Application No. \_\_\_\_\_, filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

## ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, §1.56,

*(also check the following items, if desired)*

- ☐ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and
- ☐ in compliance with this duty, there is attached an information disclosure statement, in accordance with 37 CFR 1.98.

## PRIORITY CLAIM (35 U.S.C. § 119(a)-(d))

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

*(complete (d) or (e))*

- (d) ☒ no such applications have been filed.
- (e) ☐ such applications have been filed as follows

## PRIOR FOREIGN/PCT APPLICATION(S), FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)

Country (or indicate if PCT)	Application Number	Date of Filing (day, month, year)	Priority Claimed Under 37 USC 119
			<input type="checkbox"/> YES      NO <input type="checkbox"/>
			<input type="checkbox"/> YES      NO <input type="checkbox"/>
			<input type="checkbox"/> YES      NO <input type="checkbox"/>

## CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (34 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

FILING DATE

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

\_\_\_\_\_

**CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)  
UNDER 35 U.S.C. 120**

- ☐ The claim for the benefit of any such applications are set forth in the attached ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART (CIP) APPLICATION.

**ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

- ☐ Attached, as part of this declaration and power of attorney, is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

**CONTINUATION-IN-PART**

*(complete this part only if this is a continuation-in-part application)*

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

**POWER OF ATTORNEY**

As a named inventor, I hereby appoint ALLSTON L. JONES, Reg. No. 27,906, HOWARD M. PETERS, Reg. No. 29,202, HANA VERNY, Reg. No. 30,518, JĀNIS BIKŠA, Reg. No. 33,648, and JON M. JURGOVAN, Reg. No. 34,633 all of the address listed below, my principal attorney and agents, with full power of substitution and revocation, to appoint other principal and associate attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

**SEND CORRESPONDENCE TO:**

Allston L. Jones  
**PETERS, VERNY, JONES & BIKŠA, LLP**  
385 Sherman Avenue, Suite 6  
Palo Alto, CA 94306-1840  
Telephone No.: (650) 324-1677

### DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of first or sole inventor ANDREW M. HAWRYLUK

Inventor's signature *Andrew M. Hawryluk*

Date March 16, 2000 Country of Citizenship USA

Residence 10645 Eloise Circle, Los Altos Hills, CA 94024-6505

Post Office Address Same as above

Full Name of second joint inventor DAVID A. MARKLE

Inventor's signature *David A. Markle*

Date 3-16-00 Country of Citizenship USA

Residence 20690 Ritanna Court, Saratoga, CA 95070

Post Office Address Same as above

Full Name of third joint inventor SOMIT TALWAR

Inventor's signature *Somit Talwar*

Date 3/16/00 Country of Citizenship INDIA

Residence 3449 Wallace Drive, Bonita, CA 91902

Post Office Address Same as above

CHECK PROPER BOX(ES) FOR ANY ADDED PAGE(S) FORMING A PART OF THIS DECLARATION

- ☐ Signature for fourth and subsequent joint inventors. Number of pages added \_\_\_\_.
- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added \_\_\_\_.
- ☐ Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 C.F.R. 1.47. Number of pages added \_\_\_\_.

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR**

Docket No.  
3521.125

Serial No.

Filing Date

Patent No.

Issue Date

Applicant/ **ANDREW M. HAWRYLUK, ET AL.**  
Patentee:

Invention: **METHODS FOR ANNEALING A SUBSTRATE AND ARTICLE PRODUCED BY SUCH METHODS**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- ☒ the specification to be filed herewith.  
☐ the application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern or organization exists.  
☒ Each such person, concern or organization is listed below.

**\*NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

**FULL NAME** ULTRATECH STEPPER, INC.
**ADDRESS** 3050 ZANKER ROAD, SAN JOSE, CA 95134
☐

Individual

☒

Small Business Concern

☐

Nonprofit Organization

**FULL NAME** \_\_\_\_\_

**ADDRESS** \_\_\_\_\_
☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

**FULL NAME** \_\_\_\_\_

**ADDRESS** \_\_\_\_\_
☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

**FULL NAME** \_\_\_\_\_

**ADDRESS** \_\_\_\_\_
☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR ANDREW M. HAWRYLUK

SIGNATURE OF INVENTOR *Andrew M. Hawryluk*

DATE: 3-17-2000

NAME OF INVENTOR DAVID A. MARKLE

SIGNATURE OF INVENTOR *David A. Markle*

DATE: 3-16-00

NAME OF INVENTOR SOMIT TALWAR

SIGNATURE OF INVENTOR *Somit Talwar*

DATE: 3/16/00

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_

NAME OF INVENTOR \_\_\_\_\_

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DATE: \_\_\_\_\_

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE: \_\_\_\_\_